

United States v. Frank DiPascali, Jr., 09 Cr. (RJS)

<u>Count</u>	<u>Charge</u>	<u>Maximum Penalties</u>
ONE	Conspiracy	5 yrs. imprisonment; 3 yrs. sup. release; fine of the greatest of \$250,000 or twice the gross gain or loss; mandatory \$100 special assessment; restitution.
TWO	Securities Fraud	20 yrs. imprisonment; 3 yrs. sup. release; fine of the greatest of \$5,000,000 or twice the gross gain or loss; mandatory \$100 special assessment; restitution.
THREE	Investment Adviser Fraud	5 yrs. imprisonment; 3 yrs. sup. release; fine of the greatest of \$10,000 or twice the gross gain or loss; mandatory \$100 special assessment; restitution.
FOUR	Falsifying Books and Records of a Broker Dealer	20 yrs. imprisonment; 3 yrs. sup. release; fine of the greatest of \$5,000,000 or twice the gross gain or loss; mandatory \$100 special assessment; restitution.
FIVE	Falsifying Books and Records of an Investment Adviser	5 yrs. imprisonment; 3 yrs. sup. release; fine of the greatest of \$10,000 or twice the gross gain or loss; mandatory \$100 special assessment; restitution.
SIX	Mail Fraud	20 yrs. imprisonment; 3 yrs. sup. release; fine of the greatest of \$250,000 or twice the gross gain or loss; mandatory \$100 special assessment; restitution.
SEVEN	Wire Fraud	20 yrs. imprisonment; 3 yrs. sup. release; fine of the greatest of \$250,000 or twice the gross gain or loss; mandatory \$100 special assessment; restitution.
EIGHT	International Money Laundering To Promote Specified Unlawful Activity	20 yrs. imprisonment; 3 yrs. sup. release; fine of the greatest of \$500,000, or twice the value of the monetary instruments or funds involved, or twice the gross gain or loss; mandatory \$100 special assessment; restitution.
NINE	Perjury	5 yrs. imprisonment; 3 yrs. sup. release; fine of the greatest of \$250,000, or twice the gross gain or loss; mandatory \$100 special assessment; restitution.
TEN	Federal Income Tax Evasion	5 yrs. imprisonment; 3 yrs. sup. release; fine of the greatest of \$250,000 or twice the gross gain or loss; costs of prosecution; \$100 special assessment.